

Application Form

for the Capital Protected Fund – OEIC
Individual Savings Account (ISA)
for the 2012/13 tax year

**FOR COMPLETION BY
FINANCIAL ADVISER**

Agency Number

Capital Protected Fund Issue Number

Individual Savings Account

Account No.

(internal use only)

Application for 2012/2013 Tax Year

For tax year 2012/2013, the maximum investment in a Stocks and Shares ISA is £11,280.

Please ensure you have read the Key Features (incorporating a Simplified Prospectus and Scottish Widows ISA Terms and Conditions) before completing this application form.

Please read the notes before proceeding, complete in BLOCK capitals and tick boxes where appropriate.

A. Personal details

1. Title Mr Mrs Miss Ms Other (please specify)
2. First name(s) (see note 1)
3. Last name (see note 1)
4. Permanent residential address (see note 1)
- Postcode
5. Country*
*Please ensure you complete the above if your address is outside England/Scotland/Wales or Northern Ireland
6. Daytime tel. no.
7. Evening tel. no.
8. Date of birth (DD MM YYYY) (see note 2)
9. Nationality
(e.g. British, French, Irish)
10. Do you have a National Insurance Number? (see note 2) Yes No
If yes please enter
(You should be able to find your NI number on a payslip or P60, a letter from HM Revenue and Customs, DWP or pension order book.)
11. Do you already invest in a Scottish Widows OEIC? Yes No

Tax year of subscription

Please tick box

I apply to subscribe for a Scottish Widows Stocks and Shares ISA for the above tax year. (see note 3)

B. Investment details

Your investment is subject to the minimum and maximum levels stated in 'Notes'. Please read these carefully before completing the section below.

Name of Fund (please fill in the relevant fund number below)	Payment Amount (see notes 4, 5 & 6)
Capital Protected Fund ()	£

*Please see the Key Features Document for details of the Capital Protected Fund in which you are investing and notes 7 & 8.

C. Notes

1. Give your full name and permanent residential address. Customers are requested to advise Scottish Widows of any change of address.
2. Your National Insurance Number (if you have one) and date of birth are legal requirements and your application cannot be processed without them.
3. Please note the payment detailed on this application form and made to this ISA, shall be for the tax year on the previous page. Please also note that the Terms and Conditions of this ISA may be changed if the ISA regulations are amended.
4. Investment options and investment limits
 - (a) The minimum investment is £1,000.
 - (b) For the tax year 2012/2013, the maximum investment in a Stocks and Shares ISA is £11,280.
5. We will take the initial charge from your investment amount.
6. You can top up during the Cash Investment Period with a minimum of £250.
7. At least 3 months before the Protection Date, we will write to you with the options available for the proceeds of your investment that are held on the Protection Date. These options may include:
 - investment in another Capital Protected Fund;
 - investment in another fund; or
 - payment of the proceeds.If no instructions are received before the Protection Date, the proceeds will be paid out as soon as reasonably practicable after the Protection Date.
8. If your application is either received after the Cash Investment Period for the fund detailed in section B 'Investment Details', or the fund becomes fully subscribed or the Authorised Corporate Director uses its discretion to stop issuing shares (which may be before the end of the Cash Investment Period of that Fund) we will have to return your application.

D. Declaration

I enclose a cheque for the payment amount made payable to **Scottish Widows Unit Trust Managers Ltd**. When writing a cheque it will help prevent fraud if you include additional information on the Payee Line. For example "Scottish Widows Unit Trust Managers re: your name, your reference customer account number xxxyyyzz". Remember to draw a line through unused space on the cheque so extra numbers or names cannot be added.

I understand that the payment detailed on this application and made to this ISA shall be for the tax year 2012/2013. Please note that the Terms and Conditions of this ISA may be changed.

I declare that:

- all subscriptions made, and to be made to the Scottish Widows Stocks and Shares ISA, belong to me;
- I am 18 years of age or over;
- I have not subscribed and will not subscribe more than the overall subscription limit in total to a cash ISA and a stocks and shares ISA in the same tax year;
- I have not subscribed and will not subscribe to another Stocks and Shares ISA in the same tax year that I subscribe to this Stocks and Shares ISA; and
- I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings and Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Scottish Widows Unit Trust Managers Limited if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.

D. Declaration (cont'd)

- I also confirm that I have received the Key Features, incorporating a Simplified Prospectus and Scottish Widows ISA Terms and Conditions relating to this investment.

Scottish Widows Unit Trust Managers Limited are the Manager of this ISA.

I authorise Scottish Widows Unit Trust Managers Limited:

- to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash;
- to make on my behalf any claims to relief from tax in respect of ISA investments;

I agree that the Individual Savings Account opened by the ISA Manager pursuant to this application shall be governed by the Scottish Widows ISA Terms and Conditions and agree to be bound by them.

I understand that if my application is either received after the Cash Investment Period for the fund detailed in section B, or the fund becomes fully subscribed or the Authorised Corporate Director uses its discretion to stop issuing shares (which may be before the end of the Cash Investment Period of that Fund) you will have to return my application.

I declare that this application form has been fully and correctly completed to the best of my knowledge and belief.

Before signing the application form, please make sure you have:

- Provided us with your National Insurance Number.
- Read the notes contained within Section C.
- Received and read the statements included on the 'Important Notes for Applications' document.

This application, including the 'Important Notes for Applications' insert and the Key Features Document (incorporating the Simplified Prospectus and the ISA Terms and Conditions) you will receive, form the basis of a client agreement with you for the purpose of Financial Services Authority rules. We will rely on the terms in these items of literature and you should therefore read them carefully before you sign this application. If you have not received any of these items or do not understand them, please speak to your financial adviser.

If you need any help completing this application form, or if you have any questions, please contact Scottish Widows on 0845 300 2244 or speak to your financial adviser. We may record and monitor calls to help improve our service.

If you would prefer not to receive details of other products and services, please tick this box . Other Lloyds Banking Group companies will not make marketing approaches to you unless you already have a relationship with them.

Signature

Date (DD MM YYYY)

Please complete this application form and return it to: Scottish Widows Unit Trust Managers Limited, PO Box 28015, 15 Dalkeith Road, Edinburgh, EH16 5WL.

Scottish Widows Unit Trust Managers Limited reserves the right to return this application if not properly completed.